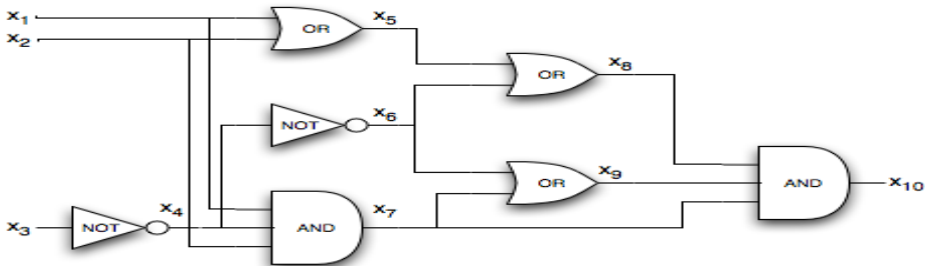


# Practical SAT Solving

Lecture 7

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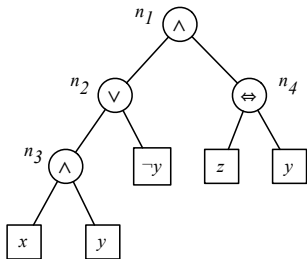
- Stålmarck's Method
- Advanced Techniques in DPLL
  - Restarts
  - Phase Saving

- **Input:** Arbitrary formula  $F$  in propositional logic (need not be in CNF,  $\Rightarrow$  and  $\Leftrightarrow$  also allowed)
- **Goal:** Show unsatisfiability of  $F$
- **Preprocessing:** Decompose formula tree into simple equations (triplets)  $T$  and a literal equivalence class  $R$ .  
( $R \subseteq L^0 \times L^0$  where  $L^0 = L \cup \{0, 1\}$ ,  $R$  'consistent')
- **Basic processing steps:**  $k$ -saturation ( $k = 0, 1, \dots$ )
  - 0-saturation: simplification with triplet rules
  - $k$ -saturation ( $k \geq 1$ ): case distinction, breadth-first search
- Developed by Gunnar Stålmarck ( $\sim 1989$ ), patented

# Decomposition into Triplets

$$F = ((x \wedge y) \vee \neg y) \wedge (z \Leftrightarrow y)$$

Formula tree:



Initial equival. class:  $\{n_1 = 0\}$   
(to show unsatisfiability of  $F$ )

Triplets:  $n_1 = n_2 \wedge n_4$

$$n_2 = n_3 \vee \neg y$$

$$n_3 = x \wedge y$$

$$n_4 = z \Leftrightarrow y$$

Normalized triplets:  
(only  $\wedge$  and  $\Leftrightarrow$ )

$$n_1 = n_2 \wedge n_4$$

$$\neg n_2 = \neg n_3 \wedge y$$

$$n_3 = x \wedge y$$

$$n_4 = z \Leftrightarrow y$$

# Stålmarck's Method: 0-Saturation

Given set of triplets  $T$  and literal equivalence class  $R$  apply derivation rules (**deriving new literal equivalences**):

$$\frac{p = q \wedge r \quad p = 1}{\begin{array}{l} r = 1 \\ q = 1 \end{array}} \quad (A)$$

$$\frac{p = q \wedge r \quad p = \neg q}{\begin{array}{l} p = 0 \\ r = 0 \end{array}} \quad (D)$$

$$\frac{p = q \wedge r \quad q = 0}{p = 0} \quad (B)$$

$$\frac{p = q \wedge r \quad q = r}{p = q} \quad (E)$$

$$\frac{p = q \wedge r \quad q = 1}{p = r} \quad (C)$$

$$\frac{p = q \wedge r \quad q = \neg r}{p = 0} \quad (F)$$

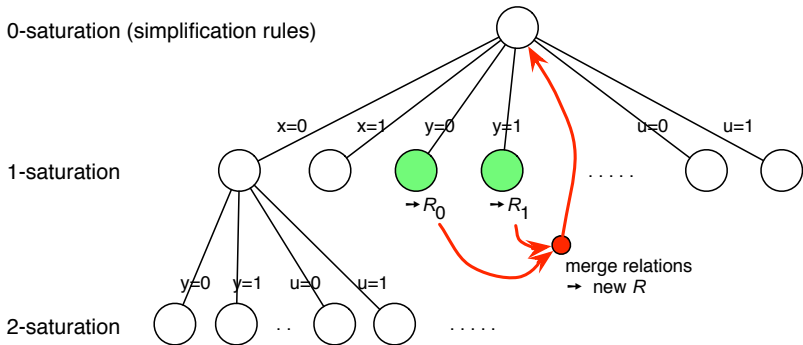
# Stålmarck's Method: $k$ -Saturation

Given formula  $F$ , represented as  $(T, R)$  (triplets and equiv. rel.)  
procedure saturate **extends equivalence relation  $R$** :

```
EquivRel saturate(int  $k$ , TripletSet  $T$ , EquivRel  $R$ )  
{  
  if (  $k = 0$  ) return zero-saturate( $T$ ,  $R$ )  
  forall  $x \in \text{Var}(T)$  not fixed in  $R$  do {  
     $R_0 = \text{saturate}(k - 1, T, R \cup \{x = 0\})$   
     $R_1 = \text{saturate}(k - 1, T, R \cup \{x = 1\})$   
     $R = R_0 \cap R_1$   
  }  
  return  $R$   
}
```

(zero-saturate returns all-relation if inconsistency was found)

# k-Saturation: Graphical Illustration



# Summary: Stålmarck's Algorithm

**Input:** Formula  $F$  represented as set of triplets  $T$   
(with  $n_1$  representing top of formula tree)

**Output:**  $F$  satisfiable?

```
boolean stalmarck(TripletSet T)
{
    k = 0; R = {n1 = 0}
    do {
        R = saturate(k, T, R)
        if ( R = all-relation ) return false
        else if ( R satisfies all triplets T ) return true
        else k = k + 1
    }
}
```



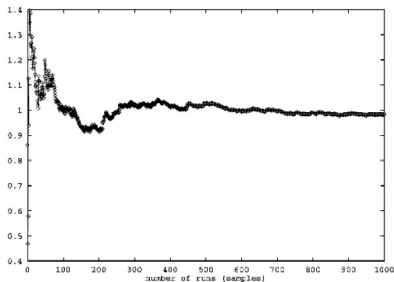
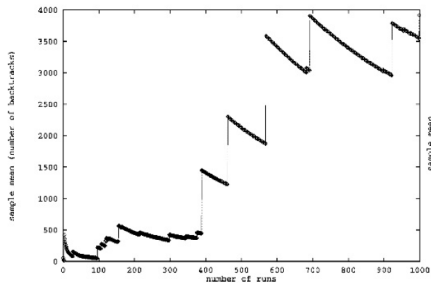
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- What is a restart?
  - Clear the partial assignment
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  - Backtrack to level 0
- Why would anybody want to do restarts in DPLL?
  - To recover from bad branching decisions
  - You solve more instances
  - Might decrease performance on easy instances

# Restarts: Why?



## Heavy-tail distribution

$$P[X > x] \sim C \cdot x^{-\alpha}$$

(for  $0 < \alpha < 2$ ,  $C > 0$ )

## Standard distribution

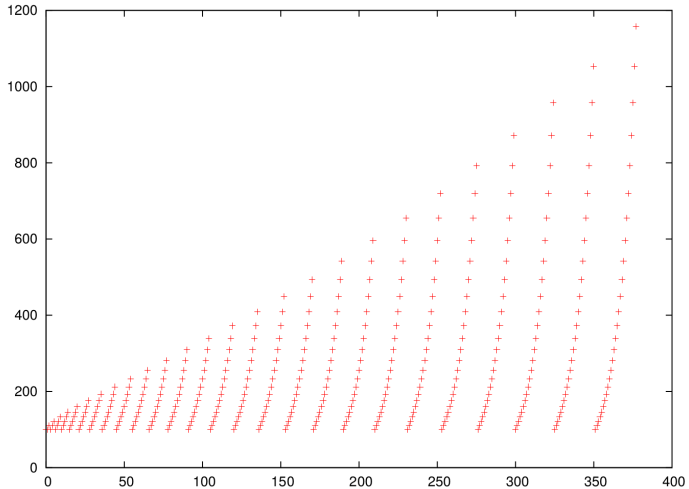
$$P[X > x] \sim \frac{1}{x\sqrt{2\pi}} e^{-x^2/2}$$

(Figures from Gomes *et al.*, 2000)

# When to Restart?

- After a given number of decisions
- The number of decision between restarts should grow
  - To guarantee completeness
- How much increase?
  - Linear increase – too slow
  - Exponential increase – ok with small exponent
  - MiniSat:  $k$ -th restart happens after  $100 \times 1.1^k$

# Inner/Outer Restart Scheduling



## Inner/Outer Restart Algorithm

```
int inner = 100
```

```
int outer = 100
```

```
forever do
```

```
  . . . do DPLL for inner conflicts . . .
```

```
  restarts++
```

```
  if inner >= outer then
```

```
    outer *= 1.1
```

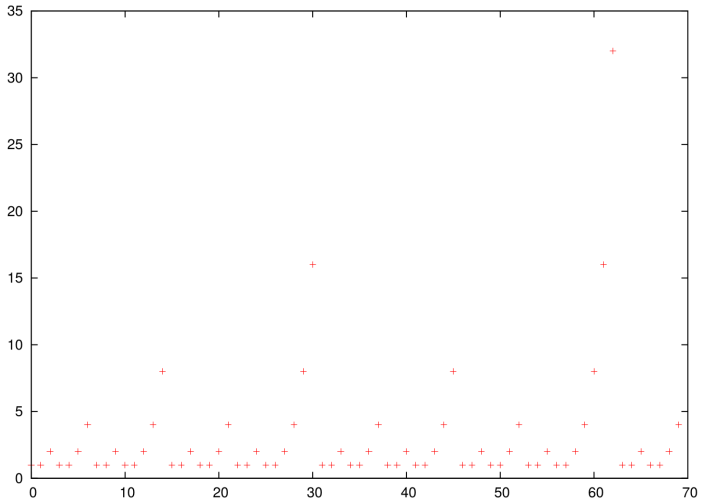
```
    inner = 100
```

```
  else
```

```
    inner *= 1.1
```



# Luby Sequence Restart Scheduling



$$Luby = u \cdot (t_i)_{i \in \mathbb{N}}$$

$$t_i = \begin{cases} 2^{k-1} & \text{if } i = 2^k - 1 \\ t_{i-2^{k-1}+1} & \text{if } 2^{k-1} \leq i \leq 2^k - 1 \end{cases}$$

1, 1, 2, 1, 1, 2, 4, 1, 1, 2, 1, 1, 2, 4, 8, ...

## Luby Sequence Algorithm

```
unsigned luby (unsigned i)
  for (unsigned k = 1; k < 32; k++)
    if (i == (1 << k) - 1) return 1 << (k - 1)
  for (k = 1;; k++)
    if ((1 << (k - 1)) <= i && i < (1 << k) - 1) then
      return luby(i - (1 << (k-1)) + 1);

limit = 512 * luby (++restarts);
... // run SAT core loop for limit conflicts
```

- Complicated, not trivial to compute

- A more efficient implementation of the Luby sequence
- Use the  $v_n$  of the following pair

$$(u_1, v_1) = (1, 1) \quad (1)$$

$$(u_{n+1}, v_{n+1}) = u_n \& - u_n = v_n ? (u_n + 1, 1) : (u_n, 2v_n) \quad (2)$$

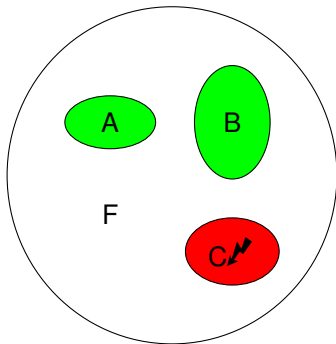
- Example: (1,1), (2,1), (2,2), (3,1), (4,1), (4,2), (4,4), (5,1), ...
- Invented by Donald Knuth

# Phase Saving


First implemented in RSAT (2006)

<http://reasoning.cs.ucla.edu/rsat/>

- Observation: Frequent *Restarts* decrease performance on some SAT instances
- Goal: Cache partial solutions to subsets of the formula and reuse them after a restart
- Idea: Remember last assignment of each variable and use it *first* in branching
- Result: *Phase Saving* stabilizes positive effect of restarts; best results in combination with *non-chronological backtracking* (later in this lecture)



Example: *A* and *B* are satisfied, search works on component *C*

-  M. Sheeran, G. Stålmarck, A tutorial on Stålmarck's proof procedure for propositional logic, Formal Methods in System Design 16 (1) (2000) 23–58.  
URL <http://dx.doi.org/10.1023/A:1008725524946>